

FORM NO. MGT 9

Annexure to the Directors' Report for the year ended 31st March, 2019

EXTRACT OF ANNUAL RETURN

as on financial year ended on 31.03.2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I REGISTRATION & OTHER DETAILS:		
i	CIN	L36993WB1995PLC069510
ii	Date of Incorporation	16-Mar-95
iii	Name of the Company	Adventz Securities Enterprises Limited
iv	Category/Sub-category of the Company	Company having ShareCapital
v	Address of the Registered office & contact details	"Hongkong House" 31, B.B.D. Bagh (South) Kolkata - 700001 Phone -033 -2248 8891
vi	Whether listed company	Yes
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Zuari Finserv Limited Corporate One, First Floor, 5 Commercial Centre Jasola- New Delhi - 110025 Contact Personnel: Mr. Krishankant Phone No.: (+91)9818501728

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

SL No	Name & Description of main	NIC Code of the Product /service	% to total turnover of the company
1	Investments Activity	643	

III PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES

SI No	Name & Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	Adventz Finance Pvt Ltd	U65993WB1996PTC079012	Holding	64.55%	2(87)(ii)

IV SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total	
A. Promoters									
(1) Indian									
a) Individual/HUF	-	3,61,110	3,61,110	6.42	3,61,110		3,61,110	6.42	6.42
b) Central Govt.or State Govt.	-	-	-	-	-	-	-	-	-
c) Bodies Corporates		36,32,528	36,32,528	64.55	36,32,528		36,32,528	64.55	64.55
d) Bank/Fl	-	-	-	-	-	-	-	-	-
e) Any other	-	27,777	27,777	0.49	27,777		27,777	0.49	0.49
SUB TOTAL:(A)									
(1)	-	40,21,415	40,21,415	71.46	40,21,415	-	40,21,415	71.46	71.46

(2) Foreign									
a) NRI-Individuals	-	-	-	-	-	-	-	-	-
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e) Any other...	-	-	-	-	-	-	-	-	-
SUB TOTAL (A)(2)	-	-	-	-	-	-	-	-	-
Shareholding of Promoter (A)= (A)(1)+(A)(2)	-	40,21,415	40,21,415	71.46	40,21,415	-	40,21,415	71.46	-
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/FI	-	-	-	-	-	-	-	-	-
c) Central govt	-	-	-	-	-	-	-	-	-
d) State Govt.	-	-	-	-	-	-	-	-	-
e) Venture Capital Fund	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIS	-	-	-	-	-	-	-	-	-
Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
SUB TOTAL (B)(1):	-	-	-	-	-	-	-	-	-
(2) Non Institutions									
a) Bodies corporates	-	14,07,050	14,07,050	25.00	-	14,07,050	14,07,050	25.00	-
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital upto Rs.1 lakhs	-	1,39,322	1,39,322	2.48	-	1,39,322	1,39,322	2.48	-
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	-	60,000	60,000	1.07	-	60,000	60,000	1.07	-
c) Others (specify)	-	-	-	-	-	-	-	-	-
(B)(2):	-	16,06,372	16,06,372	28.54	-	16,06,372	16,06,372	28.54	-
Total Public Shareholding (B)=	-	16,06,372	16,06,372	28.54	-	16,06,372	16,06,372	28.54	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	56,27,787	56,27,787	100.00	40,21,415	16,06,372	16,06,372	100.00	71.46

(ii) **SHARE HOLDING OF PROMOTERS**

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the
		NO of shares	% of total shares of the company	% of shares pledged encumbered to total shares	NO of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	Mr. Saroj Kumar Poddar	1,38,888	2.47	-	1,38,888	2.47	-	NIL
	Mr. Saroj Kumar Poddar as Karta of Saroj & Co.	2,22,222	3.95		2,22,222	3.95		
2	Jyotsna Poddar as a Partner of Poddar Heritage Enterprises Limited (Partnership Firm)	27,777	0.49	-	27,777	0.49	-	
3	M/s Adventz Finance Pvt Ltd	36,32,528	64.55	-	36,32,528	64.55	-	
	Total	40,21,415	71.46	-	40,21,415	71.46	-	

(iii) **CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE)**

Sl. No		Shareholding at the end of the year		Cumulative Shareholding during the year	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
	At the beginning of the year	There is no change in Promoter's Shareholding between 01/04/2018 and 31/03/2019			
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				
	At the end of the year				

(iv) **Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)**

Sl. No	For Each of the Top 10 Shareholders	Shareholding at the end of the year		Cumulative Shareholding during the year	
		No.of shares	% of total shares of the company	No of shares	% of total shares of the company
1	Poddar Projects Limited	3,96,000	7.04	3,96,000	7.04
2	21st Century Exports Ltd	2,45,000	4.35	2,45,000	4.35
3	Perfect Properties Pvt Ltd	1,00,000	1.78	1,00,000	1.78
4	S. L. Properties Pvt Ltd	1,00,000	1.78	1,00,000	1.78
5	Savitri Commercials Pvt Ltd	92,500	1.64	1,00,000	1.64
6	Wimco Credit & Commercial Pvt Ltd	74,350	1.32	74,350	1.32
7	Monotype Vinimay Pvt Ltd	90,000	1.60	90,000	1.60
8	Om Shree Finance Ltd	77,500	1.38	77,500	1.38
9	Alankar India Pvt. Ltd	50,000	0.89	50,000	0.89
10	Prem Lata Devi Jain (*)	20,000	0.36	20,000	0.36
	At the beginning of the year	NIL There is no change in the Shareholding of top ten shareholders between 01/04/2018 and 31/03/2019			
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)				
	At the end of the year (or on the date of separation, if separated during the year)				

* Nomita Jain and Meera Devi Jain are also holding 20,000 shares each

(v) **Shareholding of Directors & KMP**

Sl. No	For Each of the Directors & KMP Name of the Director	Shareholding at the beginning of the year		Shareholding at the end of the year	
		No. of shares	% of total shares of the	No of shares	% of total shares of the company
1	Mrs. Puja Poddar (Director)				
2	Mr. Akshay Poddar (Director)				
3	Mr. Gaurav Agarwala (Director)				
4	Mr. Rewati Raman Goenka (Independent Director)				
5	Mr. Piyush Khaitan (Independent Director)				
6	Mr. Kunal Agarwala (Independent Director)				
7	Mr. Devendra Khemka (CFO & Manager)*				
8	Ms. Punam Singh (CS)				

NIL
There is no change in the Shareholding of top ten shareholders
between
01/04/2018 and 31/03/2019

*Mr. Devendra Khemka has been appointed to the post of Manager of the company w.e.f. 22.12.2016 and currently receives no remuneration for holding the post of Manager

V **INDEBTEDNESS****Indebtedness of the Company including interest outstanding/accrued but not due for payment**

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-	26,84,32,044	-	26,84,32,044
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	1,21,43,836	-	1,21,43,836
Total (i+ii+iii)	-	28,05,75,880	-	28,05,75,880
Change in Indebtedness during the financial year				
Additions	-	56,15,00,000	-	56,15,00,000
Reduction	-	25,15,00,000	-	25,15,00,000
Net Change	-	31,00,00,000	-	31,00,00,000
Indebtedness at the end of the financial year				
i) Principal Amount	-	57,84,32,044	-	57,84,32,044
ii) Interest due but not paid	-	1,24,96,438	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	59,09,28,482	-	59,09,28,482

VI **REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**A. **Remuneration to Managing Director, Whole time director and/or Manager:**

Sl.No	Particulars of Remuneration	Name of the MD/WTD/Manager	Total Amount
		Mr. Devendra Khemka *	
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961.	-	-
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-
2	Stock option	-	-
3	Sweat Equity	-	-
4	Commission as % of profit	-	-
5	Others, please specify (Provident Fund and Perquisites)	-	-
	Total (A)	-	-
	Ceiling as per the Act	-	-

*Mr. Devendra Khemka has been appointed to the post of Manager of the company w.e.f. 22.12.2016 and currently receives no remuneration for holding the post of Manager

B. Remuneration to other directors:

Sl.No	Particulars of Remuneration	Name of the Directors		
		Rewati Raman Goenka	Piyush Khaitan	Kunal Agarwala
1	Independent Directors			
	(a) Fee for attending board committee	-	-	-
	(b) Commission	-	-	-
	(c) Others, please specify	-	-	-
	Total (1)	-	-	-
2	Other Non Executive Directors	Akshay Poddar	Gaurav Agarwala	Puja Poddar
	(a) Fee for attending board committee	-	-	-
	(b) Commission	-	-	-
	(c) Others, please specify.	-	-	-
	Total (2)	-	-	-
	Total (B)=(1+2)	-	-	-
	Total Managerial Remuneration	-	-	-
	Overall Ceiling as per the Act.	N.A.	N.A.	N.A.

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	TOTAL
1	Gross Salary		Punam Singh	Devendra Khemka	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	-	5,24,950	41,91,750	47,16,700
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	89,317	89,317
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	
2	Stock Option	-	-	-	
3	Sweat Equity	-	-	-	
4	Commission	-	-	-	
	as % of profit	-	-	-	
	others, specify	-	-	-	
5	Others, please specify (Provident Fund and Perquisites)	-	36,720	4,35,600	4,72,320
	Total	-	5,61,670	47,16,667	52,78,337

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Comp	Authority (RD/NCLT/Court)	Appeal made if any (give details)
A. COMPANY					
Penalty			None		
Punishment			None		
Compounding					
B. DIRECTORS					
Penalty			None		
Punishment			None		
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			None		
Punishment			None		
Compounding					

Registered Office:
CIN: L36993WB1995PLC069510

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31, B. B. D. Bagh(s)
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Fax: 033- 2243 7215
Email: corp@poddarheritage.com
Website: www.poddarheritage.com

For and on behalf of the Board
For Adventz Securities Enterprises Limited

Rewati Raman Goenka
Chairman
DIN: 00375716

Piyush Khaitan
Director
DIN: 00348151

Dated: 29th July, 2019