



**Date: 18th April, 2023**

**The Listing Department**

The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata - 700 001

Dear Sir,

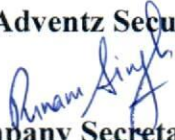
**Sub: Compliance Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Enclosed herewith please find the compliance certificate in relation to Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents for the financial year ended 31<sup>st</sup> March, 2023 duly certified by practicing Company Secretary pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you,  
Yours Truly,

For **Adventz Securities Enterprises Limited**

  
**Company Secretary**  
**Mem No. A38649**

NAME : P. SINGH  
MEMBERSHIP NO.  
(ICSI) - A38649  
ADD. - 4, N. G. BASAK ROAD,  
DUM DUM, KOLKATA-700080

Encl: As above

Cc: The Listing Department  
MSEI Limited  
205(A), 2nd Floor  
Piramal Agastya Corporate Park  
Kamani Junction, LBS Road  
Kurla (West)  
Mumbai - 400070

**ADVENTZ SECURITIES ENTERPRISES LIMITED**

Hongkong House, 31 B. B. D. Bagh (South), Kolkata - 700 001, Tel.: +91-33-2248 8891/92

Fax: +91-33- 2243 7215 Email: corp@poddarheritage.com

CIN : L36993WB1995PLC069510



# A. K. LABH

FCS, ACMA (ICAI), MBA, M.Com., ACSI (Lond)  
DIM, DHRD, PGHDSM, DIRPM  
*Practising Company Secretary*



# A. K. LABH & Co.

*Company Secretaries*

40, Weston Street, 3rd Floor, Kolkata - 700 013

☎ (033) 2221-9381, Fax : (033) 2221-9381

Mobile : 98300-55689

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Website : [www.aklabh.com](http://www.aklabh.com)

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE  
FINANCIAL YEAR ENDED MARCH, 31, 2023**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ADVENTZ SECURITIES ENTERPRISES LIMITED** of 31, B.B.D. Bag(S), Kolkata- 700 001 maintained by **ZUARI FINSERV LIMITED** of Plot no.2, Zamrudpur Community Centre, Kailash Colony Extension, New Delhi-110 048, acting as Registrars & Share Transfer Agent (RTA), pertaining to Equity Shares of the Company for the financial year ended 31<sup>st</sup> March, 2023 for the purpose of issuing the Certificate in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company / RTA, we hereby certify that:

- A) all certificates / letter of confirmations have been issued within thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies , *as applicable*;
- B) any other information, if applicable: NIL

Date : 13.04.2023

Place: Kolkata



For A. K. LABH & Co.  
*Company Secretaries*

(CS A. K. Labh)

*Practising Company Secretary*

FCS – 4848 / CP – 3238

UIN : S1999WB026800

PRCN : 1038/2020

UDIN : F004848E000083432

