



Dated: 16th April, 2021

The Listing Department
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Dear Sir,

Sub: Compliance Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Enclosed herewith please find the compliance certificate in relation to Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents for the half and financial year ended 31st March, 2021 duly certified by practicing Company Secretary pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you,
Yours Truly,

For Adventz Securities Enterprises Limited


Company Secretary
Mem No. A38649

NAME : PUNAM SINGH
MEMBERSHIP NO.
(ICSI) - A38649
ADD. - 4, N. G. BASAK ROAD,
DUM DUM, KOLKATA-700080

Encl: As above

Cc : The Listing Department
The Delhi Stock Exchange Ltd
DSE House
3/1, Asaf Ali Road
New Delhi - 110002

The Listing Department
MSEI Limited
Vibgyor Towers, 4th Floor, Plot No. C62
G-Block, Opp. Trident Hotel
Bandra Kurla Complex, Bandra(E)
Mumbai - 400 098

ADVENTZ SECURITIES ENTERPRISES LIMITED

Hongkong House, 31 B. B. D. Bagh (South), Kolkata - 700 001, Tel.: +91-33-2248 8891/92

Fax: +91-33- 2243 7215 Email: corp@poddarheritage.com

CIN : L36993WB1995PLC069510

A. K. LABH

FCS, ACMA (ICAI), MBA, M.Com., ACSI (Lond)
DIM, DHRD, PGHDSM, DIRPM
Practising Company Secretary



A. K. LABH & Co.

Company Secretaries

40, Weston Street, 3rd Floor, Kolkata - 700 013
☎ (033) 2221-9381, Fax : (033) 2221-9381
Mobile : 98300-55689
e-mail : aklabh@aklabh.com / aklabhcs@gmail.com
Website : www.aklabh.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF
YEAR ENDED MARCH, 31, 2021**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ADVENTZ SECURITIES ENTERPRISES LIMITED** of 31, B.B.D. Bag(S), Kolkata- 700 001 maintained by **ZUARI FINSERV LIMITED** of Corporate One, First Floor, 5, Commercial Centre, Jasola, New Delhi- 110 025, acting as Registrars & Share Transfer Agent (RTA), pertaining to Equity Shares of the Company during the period **01.10.2020 to 31.03.2021** for the purpose of issuing the Certificate in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company / RTA, we hereby certify that:

- A) all certificates have been issued within thirty days of the date of lodgement for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/ allotment monies , *as applicable;*
- B) any other information, if applicable: NIL

Date: 13.04.2021
Place: Kolkata



For A. K. LABH & Co.
Company Secretaries

(CS A. K. Labh)

Practising Company Secretary

FCS – 4848 / CP – 3238

UDIN: F004848C000069979

