



Dated: 15th July, 2020

The Listing Department

The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001

Dear Sir,

Sub: Quarterly Corporate Governance Report pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015

Enclosed herewith please find the Corporate Governance Report for the Quarter ended 30th June, 2020 pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements), 2015.

Please acknowledge the receipt.

Thanking you,
Yours Truly,

For **Adventz Securities Enterprises Limited**


Company Secretary

NAME : PUNAM SINGH
MEMBERSHIP NO.
(ICSI) - A38649
ADD. - 4, N. G. BASAK ROAD,
DUM DUM, KOLKATA-700080

Encl: As above

Cc : The Listing Department
The Delhi Stock Exchange Ltd
DSE House
3/1, Asaf Ali Road
New Delhi - 110002

The Listing Department
MSEI Limited
Vibgyor Towers, 4th Floor, Plot No. C62
G-Block, Opp. Trident Hotel
Bandra Kurla Complex, Bandra(E)
Mumbai - 400 098

ADVENTZ SECURITIES ENTERPRISES LIMITED

Hongkong House, 31 B. B. D. Bagh (South), Kolkata - 700 001, Tel.: +91-33-2248 8891/92

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CIN : L36993WB1995PLC069510

ANNEXURE I
Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity **Adventz Securities Enterprises Limited**
2. Quarter ending **30th June, 2020**

| Title (Mr./Ms.) | Name of the Director | PANS & DIN | Category (Chairperson /Executive/ Non- Executive/ independent/ Nominee) * | Initial Date of Appointment | Date of Re-appointment | Date of Cessation | Tenure* | No of Directorship in listed entities including this listed entity [In Reference to Regulation 17A(1)] | No of Independent Directorship in listed entities including this listed entity [In Reference to proviso to Regulation 17A(1)] | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) |
|-----------------|----------------------|----------------------------------|---|-----------------------------|------------------------|-------------------|---------|--|---|---|--|
| Mrs. | Puja Poddar | PAN: ALRPP0822B DIN: 05333989 | Non-Executive | 22-06-2012 | - | - | - | 1 | 0 | - | - |
| Mr. | Akshay Poddar | PAN: AFUPP0096C DIN: 00008686 | Non-Executive | 28-04-2001 | - | - | - | 6 | 0 | Audit Committee - 1 Stakeholders Relationship Committee - 2 | Stakeholders Relationship Committee - 1 |
| Mr. | Gaurav Agarwala | PAN: AEHPA6396L DIN: 00201469 | Non-Executive | 22-03-2000 | - | - | - | 1 | 0 | Audit Committee - 1 Stakeholders Relationship Committee - 1 | Stakeholders Relationship Committee - 1 |
| Mr. | Rewati Raman Goenka | PAN: AGBFG2254K DIN: 00375716 | Non-Executive - Independent - Chairman | 12-12-2016 | 15-09-2019 | - | 5 years | 1 | 1 | Audit Committee - 1 Stakeholders Relationship Committee - 1 | - |
| Mr. | Piyush Khaitan | PAN: AENPK2954E DIN: 00348151 | Non-Executive - Independent | 30-06-2000 | 15-09-2019 | - | 5 years | 1 | 1 | Audit Committee - 1 Stakeholders Relationship Committee - 1 | Audit Committee - 1 |
| Mr. | Kunal Agarwala | PAN: AELPA5198Q DIN: 01205229 | Non-Executive - Independent | 04-03-2013 | 15-09-2019 | - | 5 years | 1 | 1 | - | - |
| Mrs. | Shradha Agarwala | PAN: ACTPA8806F DIN: 01203800 | Non-Executive - Non Independent | 13-02-2020 | - | - | - | 2 | 0 | - | - |

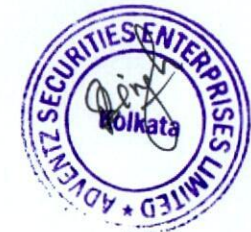
Whether Regular Chairperson appointed - YES

Whether Chairperson is related to Managing Director or CEO - NO

*PAN number of any director would not be displayed on the website of Stock Exchange

*Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.



| II. Composition of Committees | | | | | |
|--|---|--|---|---|---|
| Name of Committee | Whether Regular Chairperson appointed | Name of Committee members | Category (Chairperson/Executive/ Non-Executive/Independent/Nominee) ⁵ | Date of Appointment | Date of Cessation |
| 1. Audit Committee | YES | Mr. Piyush Khaitan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala | Non Executive - Independent - Chairperson Non-Executive - Independent Non-Executive - Non Independent | Mr. Piyush Khaitan - 01.02.2016 Mr. Rewati Raman Goenka - 01.02.2016 Mr. Gaurav Agarwala - 22.04.2014 | - |
| 2. Nomination & Remuneration Committee | YES | Mr. Piyush Khaitan Mr. Rewati Raman Goenka Mr. Gaurav Agarwala | Non Executive - Independent - Chairperson Non-Executive - Independent Non-Executive - Non Independent | Mr. Piyush Khaitan - 01.02.2016 Mr. Rewati Raman Goenka - 01.02.2016 Mr. Gaurav Agarwala - 22.04.2014 | - |
| 3. Risk Management Committee(if applicable) | | N.A. | N.A. | | - |
| 4. Stakeholders Relationship Committee | YES | Mr. Gaurav Agarwala Mr. Rewati Raman Goenka Mr. Piyush Khaitan | Non Executive - Non Independent - Chairperson Non-Executive - Independent Non-Executive - Independent | Mr. Gaurav Agarwala - 22.04.2014 Mr. Rewati Raman Goenka - 22.04.2014 Mr. Piyush Khaitan - 22.04.2014 | - |
| ⁶ Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen | | | | | |
| III. Meeting of Board of Directors | | | | | |
| Date(s) of Meeting in the previous quarter | Date(s) of Meeting in the relevant quarter | Whether requirement of Quorum met*(Yes/No) | Number of Directors present* | Number of Independent Directors present* | Maximum gap between any two consecutive meetings (in number of days) |
| 13-02-2020 | 30-05-2020 | Yes | 5 | 3 | 106 |
| [*] to be filled in only for the current quarter meetings | | | | | |
| IV. Meeting of Committees | | | | | |
| Date(s) of Meeting of the Committee in the relevant quarter | Whether requirement of Quorum met*(Yes/No) | Number of Directors present** | Number of Independent Directors present** | Date(s) of Meeting of the Committee in the previous quarter | Maximum gap between any two consecutive meetings (in number of days*) |
| Audit Committee | | | | | |
| 30-05-2020 | Yes | 3 | 2 | 13-02-2020 | 106 |
| Stakeholders Relationship Committee | | | | | |
| Risk Management Committee | | | | | |
| NA | | | | | |
| Nomination & Remuneration Committee | | | | | |
| | | | | | |
| [*] This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional | | | | | |
| ^{**} to be filled in only for the current quarter meetings | | | | | |
| V. Related Party Transactions | | | | | |
| Subject | Compliance status (Yes/No/NA)refer note below | | | | |
| Whether prior approval of audit committee obtained | Yes | | | | |
| Whether shareholder approval obtained for material RPT | No material RPTs have taken place in this quarter | | | | |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | Yes | | | | |
| Note | | | | | |
| 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/NA. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "NA." may be indicated. | | | | | |
| 2 If status is "No" details of non-compliance may be given here. | | | | | |
| VI. Affirmations | | | | | |



VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015

- | | |
|--|-----|
| a. Audit Committee | Yes |
| b. Nomination & remuneration committee | Yes |
| c. Stakeholders relationship committee | Yes |
| d. Risk management committee (applicable to the top 500 listed entities) | NA |

3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. Yes
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. Yes
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: Yes

No adverse comments have been given by the Board of Directors

