


1. Name of Listed Entity : **ADVENTZ SECURITIES ENTERPRISES LIMITED**

2. Quarter ending: **30th September, 2016**

| <b>I Affirmations</b>   |                                      |                                      |
|---|--------------------------------------|--------------------------------------|
| <b>Broad Headings</b>   | <b>Regulation Number</b>             | <b>Compliance status (Yes/No/NA)</b> |
| Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website  | 46(2)                                | Yes                                  |
| Presence of Chairperson of Audit Committee at the Annual General Meeting  | 18(1)(d)                             | Yes                                  |
| Presence of Chairperson of the nomination and remuneration committee at the annual general meeting  | 19(3)                                | Yes                                  |
| Whether "Corporate Governance Report" disclosed in Annual Report  | 34(3) read with para C of Schedule V | Yes                                  |
| <b>Note</b><br>1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.<br><br>2. If status is "No" details of non-compliance may be given here.<br>3. If the Listed Entity would like to provide any other information the same may be indicated here. |                                      |                                      |
| <b>For Adventz Securities Enterprises Limited</b><br><b>Punam Singh</b><br><br><b>Company Secretary</b>  |                                      |                                      |

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2. Quarter ending: **30th September, 2016**

**1. Composition of Board of Directors**

| Title (Mr./Ms) | Name of the Director | PAN <sup>§</sup> & DIN            | Category (Chairperson/Executive/Non-Executive/Independent & Nominee) | Date of Appointment in the current term /cessation | Tenure* | No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) |
|----------------|----------------------|-----------------------------------|--|--|---------|--|---|--|
| Mrs.           | Puja Poddar          | PAN: ALRPP08228<br>DIN: 05333989  | Non-Executive  | 29-08-2012   | -       | -  | -   | -  |
| Mr.            | Akshay Poddar        | PAN: AFUPP0096C<br>DIN: 000008686 | Non-Executive  | 12-03-2012   | -       | 8  | Audit Committee - 1<br>Stakeholders Relationship Committee - 1  | Stakeholders Relationship Committee - 1  |
| Mr.            | Gaurav Agarwala      | PAN: AEHPA6396L<br>DIN: 00201469  | Non-Executive  | 15-09-2014   | -       | 1  | Audit Committee - 1   | Stakeholders Relationship Committee - 1  |
| Mr.            | Rewati Raman Goenka  | PAN: AGBPG2254K<br>DIN: 00375716  | Non-Executive - Independent - Chairman                               | 15-09-2014   | 5 years | 1  | Audit Committee - 1<br>Stakeholders Relationship Committee - 1  | Audit Committee - 1  |
| Mr.            | Piyush Kumar Khetan  | PAN: AENPK2954E<br>DIN: 00348151  | Non-Executive - Independent  | 15-09-2014   | 5 years | 1  | Stakeholders Relationship Committee - 1   | Audit Committee - 1  |
| Mr.            | Kunal Agarwala       | PAN: AELPA5198Q<br>DIN: 01205229  | Non-Executive - Independent  | 22-03-2000   | 5 years | 1  | -   | -  |

<sup>§</sup> PAN number of any director would not be displayed on the website of Stock Exchange

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

*Punam Singh*

