Format of holding of specific securities

Annexure - I

Name of the Listed Entity: ADVENTZ SECURITIES ENTERPRISES LIMITED

Scrip Code : 26189 (CSE)

Name of the scrip: ADVENSEC (CSE)

Class of Security: EQUITY SHARES

Share Holding Pattern Filed under: Reg.31(1)(b) for Quarter ending: 31.12.2015

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares ? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 | Whether the Listed Entity has any shares against which Depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in locked-in? | | No |
| 5 | Whether any shares held by Promoters are pledged or otherwise encumbered? | | No |

ADVENTZ SECURITIES ENTERPRISE LIMITED

Table I - Summary Statement holding of specified securities

| Catego | o Category of N shareholder s | Nos. of shareholders | No. of fully paid up equity shares held | partly paid- | underlying | Total no. of shares held | Sharehold ing as a % of total no. of shares (calculated as per SCRR, 1957) | Number of Vo | oting Right: | s held in each o | | Shares Underl ying Outsta nding | conversion of convertible securities (as a percentage of diluted share capital) | Num Lock share | ed in | Number Shares pledged otherwi encumb | l or | Number of equity shares held in demateri alised form |
|--------|--|-------------------------|--|--------------|------------|-----------------------------|---|--|--------------|------------------|--------|---|--|----------------------|-------|--|--------------------------------|--|
| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) = (iv)+(v)+(vi) | (viii) As a % of (A+B+C2) | | (i | x) | | (x) | (xi) = (vii)+(x) As a % of (A+B+C2) | (xii) | | (xiii) | | (xiv) |
| | | | | | | | | No. of Voting Rights Total as a % of (A+B+C) | | | | | | No. (a) | | (a) | As a % of total Share | |
| | | | | | | | | Class eg : X | Class eg: | Total | | | | | | | | |
| | Promoter & Promoter Group | 4 | 40,21,415 | - | | 40,21,415 | 71.46 | 40,21,415 | | 40,21,415 | 71.46 | | 71.46 | | - | | | |
| (B) | Public | 340 | 16,06,372 | - | - | 16,06,372 | 28.54 | 16,06,372 | - | 16,06,372 | 28.54 | - | 28.54 | - | - | - | - | - |
| (C) | Non Promoter - | | | | | | | | | | 0.00 | | 0.00 | - | Color | | | |
| (C1) | Shares underlying DRs | | | | - | | - | | | - | 0.00 | | 0.00 | - | | | - | |
| (C2) | Shares held by Employees Trusts | | | - | | | | | | | 0.00 | | 0.00 | | • | | | • |
| V. 197 | Total | 344 | 56,27,787 | 71 | A 24 - | 56,27,787 | 100.00 | 56,27,787 | | 56,27,787 | 100.00 | | 100.00 | - | | - | | - |

ADVENTZ SECURITIES ENTERPRISE LIMITED

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of the shareholders | PAN | Nos. of sharehol der | No. of fully paid up equity shares held | No. of partly paid- up equity shares held | No. of shares underlying Depository Receipts | Total no. of shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | Number of Votin | g Rights | s held in each cl | ass of securities | No.of Shares Underlying Outstanding convertible securities (including Warrants) | 2020/2 | Loci shar of e as | ea in | Share pledg other | ged or | Number of equity shares held in dematerialis ed form |
|-------|---|------------|----------------------------|--|---|--|------------------------------|---|--|----------|---|----------------------------|---|--|-------------------------------|---------------------------------|-------------------------|--|---|
| | (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) = (iv)+(v)+(vi) | (viii) As a % of (A+B+C2) | | | (ix) | | (x) | (xi) = (vii)+(x) As % of (A+B+C2) | a | (xii) | | (xiii) | (xiv) |
| | | | | | | | | | No. of | Voting I | Rights | Total as a % of (A+B+C) | | | No. (a) | As a % of total Shares held (b) | l (a) | As a % of total Shares held (b) | |
| | | | | | | | | | Class eg : X | Class | Total | | rieden. | 1 | | | | | |
| 1 | Indian | | | | | | | | | eg:Y | | | | 1 3 | | | | | |
| | Individuals/ Hindu | | | | | | | | | | | | | 70700 | | | | | |
| (4) | Undivided Family | | | | A CAND | | | | | | | | | | | | 1 | | |
| | Mr. Saroj Kumar Poddar | AFTPP2386N | 1 | 1,38,888 | | | 1,38,888 | 2.47 | 1,38,888 | 100 | 1,38,888 | 2.47 | Maria . | 2. | 47 - | | | 1 | 100 |
| | Mr. Saroj Kumar Poddar on behalf of Saroj & Co. HUF | AAGHS1464L | 1 | 2,22,222 | | | 2,22,222 | 3.95 | 2,22,222 | - | 2,22,222 | 3.95 | - | 3. | 95 - | | - | - | |
| (b) | Central Government/ State | | | | • | | | | | - | | | - | 1 1000 | - | 1 | - | - | - |
| (c) | Government(s) Financial Institutions/ | | | | | | | | | - | | - | - | | - | - | - | | |
| (d) | Banks Any Other (Specify) | | | | | | | | | 1 | | | | 100000 | | | | 4 100000 | |
| | Poster (epechy) Jyotsna Poddar as a Partner of Poddar Herutage Enterprises Limited (Partnership Firm) | AAEFP9726A | 1 | 27,777 | | | 27,777 | 0.49 | 27,777 | | 27,777 | 0.49 | - | 0. | 49 - | | - | - 1 | |
| (d-i) | Bodies Corporate | | | To the | | | | | | | | | | | | | 13.55 | | ALC: N |
| | Adventz Finance Private Limited | AABCB3016H | 1 | 36,32,528 | | | 36,32,528 | 64.55 | 36,32,528 | | 36,32,528 | 64.55 | | 64. | 55 - | | • | | |
| | Sub Total(A)(1) | | 4 | 40,21,415 | | | 40,21,415 | 71.46 | 40,21,415 | | 40,21,415 | 71.46 | - | 71. | 16 - | | | - | |
| | NAME OF THE OWNER, THE | TO THE MEN | | | | | | | Service and the service of the servi | | A LINE OF | | | | | | | | |
| (a) | Foreign Individuals (Non-Resident Individuals/ Foreign Individuals) Foreign Individuals) | | | | | - | | | | | - | | | | | | - | - | |
| (b) | Government | | | | | | | | | | | | | | | - | | - | |
| | Institutions | | | - | | | | | • | | | | 1000 | 1 | | - | | | - |
| | Foreign Portfolio Investor | | | - | | - | | | | - | | | | - | | - | - | | |
| (6) | Any Others(Specify) | | | | | | | | | | | | | 1 | | | + | - | CONT. |
| | Sub Total(A)(2) | | /- | | - | | | - | | - | - | | | - | - | - | | | |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 4 | 40,21,415 | | | 40,21,415 | 71.46 | 40,21,415 | | 40,21,415 | 71.46 | | 71. | 46 - | | | | |
| N) E | Note: | | | | | | | | | | | | | | | | - | | |
| | | | | THE RESERVE AND ADDRESS. | | The state of the s | Part of the Land of the Land | | SANDAMENTAL VALUE OF THE | | THE RESERVE TO SHARE THE PARTY OF THE PARTY | | | ALC: UNKNOWN TO THE REAL PROPERTY OF THE PERSON NAMED IN COLUMN TO | | | 1 | AND DESCRIPTION OF THE PARTY OF | A SCHOOL STATE |

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Company Secreta

Table III- Statement showing shareholding pattern of the Public shareholder

| | Category & Name of the shareholders | PAN | Nos. of share holde r | No. of fully paid up equity shares held | No. of partly paid- up equity shares held | No. of shares underly ing Deposit ory Receipt s | Total no. of shares held | | Number of Vo | ting Right | s held in each | a class of | No.of Shares Underly ing Outstan ding convert ible securiti es (includi | g as a % assuming full an conversion of convertible securities (as a percentage ddi of diluted share | | gas a % assuming ing ting tistan ting conversion of convertible bele curitie es percentage of diluted gas a % | | gas a % assuming ing ting tistan ting conversion of convertible bele curitie es percentage of diluted gas a % | | res g as a % assuming full stan conversion of convertible securities ariti (as a percentage ludi of diluted | | shares | | ber of es pledged herwise mbered | Number of equity shares held in demateriali sed form |
|-----|--|----------|-----------------------------------|--|--|--|-----------------------------|------------------------------|--------------|-------------|----------------|-------------------------------|---|--|------------|---|------------|---|-------|---|--|--------|--|---|---|
| | (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) = (iv)+(v)+(vi) | (viii) As a % of (A+B+C2) | | (| ix) | | (x) | (xi) = (vii)+(x) As a % of (A+B+C2) | | (xii) | | (xiii) | (xiv) | | | | | | |
| | | | | | | | | | No. | of Voting R | ights | Total as a % of (A+B+C) | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | | | | | | |
| | | | | | | | | | Class eg : X | Class eg : | Total | | | | | | | | | | | | | | |
| | V 1/2 - 1/2 | | Control of | | | | | | | Y | | | | | | | | | | | | | | | |
| (a) | Institutions Mutual Funds/ | | | - | - | - | - | | - | | | | | | - | () () - | | - | | | | | | | |
| (b) | Venture Capital Funds | | - | | | | | | | | | | - | | | | - | | | | | | | | |
| (c) | Alternate Investment Funds | 3 (4 ·) | | | | | • | | - | | - | | | | | • | | | | | | | | | |
| (d) | Foreign Venture Capital Investors | • | | • | | - | | | | | | | | | | | - | | | | | | | | |
| (e) | Foreign Portfolio Investors | | - | \$13.00 E | | • | T VAS | | | | | | | | | | | | | | | | | | |
| (f) | Financial Institutions/ Banks | • | - | | | | | | • | | - | | | | | | · | | | | | | | | |
| (g) | Insurance | | - | - | 100 | | the line of | | | - | - | - | 14 - Hall | - | - | | | 1 | - | | | | | | |
| (h) | Provident Funds/ Pension Funds | | - | | | • | | | | | | | | - | | | | | | | | | | | |
| (i) | Any Other (Specify) | | - | | | | | | - | | | | | | | - | | | | | | | | | |
| | Sub Total(B)(1) | | | | | | | | | | | | | | | | • | | | | | | | | |
| 2 | Central Government/ State Government(s)/ President of India | | | | | | | | | | | | | | | | | | | | | | | | |
| NO. | State | | | - | | - | | - | | | | - | | | +: | | 1 | 1 | | | | | | | |
| | Sub Total(B)(2) | | - | | - | | - | - | - | - | | | | | 1 | | | 1 | | | | | | | |

ADVENTZ SECURITIES ENTERPRISE LIMITED

| | | | | | | | Management 2 | | he district | | and the last the last | | A COLUMN TO | | 350 | | | | |
|--------|--|------------|-------|--|----------|--------|----------------|-------|-------------|-----------|-----------------------|-------|-------------|-------|------|-------|-----|----------|-------------|
| 3 | Non-Institutions | | 100 | A STATE OF THE STA | | 12/3/5 | | | | | | | | | 1000 | | | Alaman . | |
| (a) | Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs | | 303 | 1,99,322 | 3.54 | | 1,99,326 | 3.54 | 1,99,326 | | 1,99,326 | 3.54 | | 3.54 | | | | | |
| | ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | | | | | | | | | | | | | | | |
| (b) | NBFCs registered with RBI | 4 | - | | | | | | | | | | | | | | - | | |
| (c) | Employee Trusts | | | | | | | • | | | • | 100 | | | | | - | | |
| (d) | Overseas Depositories (holding DRs) (balancing figure) | | - | | | | | - | | | | | | | | | | | |
| 200 | Any | - | | • | | - | - | | • | | - | 7 | | | | W | - | | |
| | NRI | | • | | | - | | • | - 1 | - | - | | - | | | - | - | 1 AM - S | - |
| (e-ii) | Bodies Corporate | | - | • | - | | Normalia + Fal | | | | 1000 | - | * | - 1 | - | | - | | |
| | Holders of more than 1% of total no. of shares | | | | | | | | | | | | | | | | | | |
| | Poddar Projects Limited | AACCP5704B | 1 | 3,96,000 | 7.04 | | 3,96,000 | 7.04 | 3,96,000 | | 3,96,000 | 7.04 | | 7.04 | • | | - | | |
| | 21st Century Exports Ltd | - | 1 | 2,45,000 | 4.35 | | 2,45,000 | 4.35 | 2,45,000 | | 2,45,000 | 4.35 | | 4.35 | | | | | |
| | Perfect Properties Pvt | | 1 | 1,00,000 | 1.78 | | 1,00,000 | 1.78 | 1,00,000 | Dest | 1,00,000 | 1.78 | | 1.78 | • | - | - | | |
| | S. L. Properties Pvt Ltd | P. 1 | 1 | 1,00,000 | 1.78 | | 1,00,000 | 1.78 | 1,00,000 | | 1,00,000 | 1.78 | | 1.78 | - | | - | | |
| | Savitri Commercials Pvt Ltd | | 1 | 92,500 | 1.64 | | 92,500 | 1.64 | 92,500 | | 92,500 | 1.64 | | 1.64 | | | - | | • |
| | Wimco Credit & Commercial Pvt Ltd | | 1 | 74,350 | 1.32 | | 74,350 | 1.32 | 74,350 | | 74,350 | 1.32 | | 1.32 | | | - 1 | - | - |
| | Monotype Vinimay Pvt Ltd | 2.0 | 1 | 90,000 | 1.60 | | 90,000 | 1.60 | 90,000 | | 90,000 | 1.60 | | 1.60 | - | 8 · | - 0 | | |
| | Om Shree Finance Ltd | -1 | 1 | 77,500 | 1.38 | | 77,500 | 1.38 | 77,500 | | 77,500 | 1.38 | · | 1.38 | - | | - 1 | - | - |
| | Holders of less than 1% of total no. of shares | | 29 | 2,31,700 | 4.12 | | 2,31,700 | 4.12 | 2,31,700 | | 2,31,700 | 4.12 | | 4.12 | | | - | | |
| | Sub Total(B)(3) | | 37 | 14,07,050 | 25.00 | • | 14,07,075 | 25.00 | 16,06,376 | | 14,07,050 | 25.00 | | 25.00 | • | | - | • | • |
| | Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) | | 340 | 16,06,372 | 28.54 | • | 16,06,372 | 28.54 | 16,06,376 | | 16,06,376 | 28.54 | | 28.54 | | · | - | - | |
| 300 | | | | | 125/2/2/ | 11111 | | | -71 (14-17) | HATTA NO. | 414 | | | | | 18,00 | | | F1185-75 |
| | Note: (1) PAN would no | | 18-18 | SENTENCE OF THE | | Market | | | | | | | 071577 | | | 100 | | | (1) (B) (1) |

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⁽¹⁾ PAN would not be displayed on website of Stock Exchanges
(2) The above formats need to be disclosed along with the name of the following persons:
Institutions/ Non institutions holding more than 1% of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

| Details of the shareholders acting as P and %) | Persons in Concert | including their Share | eholding (No. |
|---|--------------------|-----------------------|---------------|
| Name of shareholder | Category | No. of shares held | Holding % |
| NIL | NIL | NIL | NIL |

ADVENTZ SECURITIES ENTERPRISE LIMITED

Guna M Livy

Company Secretary

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

| No. of shareholders | No. of shares |
|---------------------|---------------|
| NIL | NIL |

ADVENTZ SECURITIES ENTERPRISE LIMITED

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

| | Category & Name of the shareholders | PAN | Nos. of shareho lder | No. of fully paid up equity shares held | No. of partly paid- up equity shares held | No. of shares underly ing Deposit ory Receipt s | | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | | | Voting Ri | | No.of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | | r of in shares | Number Shares or other encumb | pledged rwise | Number of equity shares held in demate rialised form |
|------|--|----------|----------------------------|--|--|--|--------------------------|---|---------------|---------------|------------|------------------------------|---|--|---------|--|--|---------------------------------|---|
| | (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) = (iv)+(v)+(vi) | (viii) As a % of (A+B+C2) | (ix) | | | | (x) | (xi) = (vii)+(x) As a % of (A+B+C2) | s (xii) | | (xiii) | | (xiv) |
| | | | 170.01 | | | | | | | | ng Rights | Total as a % of (A+B+C | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| | 100 mm 100 mm | | | | | | | | Class eg:X | Class eg:Y | | | | | | | | | |
| 1 | Custodian/ DR Holder | - 1 | | - | - | | | - X | - | - | | - | - | | - 3 | - | - | - | |
| (a) | Name of DR Holder (if available) | | | | - | | an dia | Salery is | | Si. | | 18170 | • | • | - 1 | | 9. | - | |
| (i) | | 2011 | | TA C | | | | | | | and all | 4-04-5 | | | | | ES. 15.15 | | |
| (ii) | | Migra v. | | | | | | | 9,994 | | | | Variation. | | | | BOLEN. | 1000 | |
| | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | | | | | | | | | | | | | | | | | |
| (a) | Name | | - | <u></u> | - | | - | • | - | - | | | - | G 40 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | | | <u></u> | - | 11/1-5 |
| | Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | | | - | - | | | | | | | • | - | | | | | • |
| | | | | | 327 S. C. | | | | 100 | | | | | | 13 | | | | 201235 |
| | Note: (1) PAN would not be disp | lavad | wohait- | of Ctool- P | wahana | | | | | | | | | | | | Mark Sall | | |
| | (2) The above format need | | | | | | e than 1% of t | otal number of share | S | | | | | | 771 | | | | |
| | (3) W.r.t. the information | | | | | | | | | s to th | e extent i | nformatio | n available | | TV. | | | | |
| | | | | | | | | | | | | | | | | | | | |

ADVENTZ SECURITIES ENTERPRISE LIMITED