ADVENTZ SECURITIES ENTERPRISES LIMITED

MANAGEMENT INFORMATION SYSTEM (MIS) POLICY

Adventz Securities Enterprises Limited ("the Company") is registered with the Reserve Bank of India ("RBI") as a Non-Banking Financial Company, Non-Deposit taking, and is engaged in the business of investment and providing financial assistance, primarily by way of loans to body corporates and placement of Inter-Corporate Deposits (ICDs).

Pursuant to the Select Scope Inspection of the Company and the formation of the Asset Liability Management Committee ("ALCO") and the Risk Management Committee ("RMC"), the Management Information System (MIS) Policy was adopted by the Board of Directors of the Company at their meeting held on 11th August, 2023. This Policy has been reviewed and updated by the Board of Directors at their meeting held on 25th May, 2026, to align it with the Company's classification as an NBFC-ML and with the extant RBI directions/guidelines applicable to NBFC-ML entities, as set out below. The Policy, as amended, shall be effective from the date of such approval by the Board.

1. A periodical MIS Report shall be placed before the Asset Liability Management Committee and the Board of Directors of the Company.

2. An illustrative MIS Report shall take care of information needs at all levels in the business for the Board of Directors of the Company and assist them in decision making and also to maintain an oversight over operations of various business verticals.

3. The following information shall form part of the MIS Report:
   a) Annual Audited Standalone Balance Sheet of the Company for the year ending on the last day of March.
   b) Statement of the Inter-corporate Deposits outstanding as on the date of MIS Report.
   c) Movement of Non-Performing Assets during the period along with provision made for bad - debt.
   d) Statement of Non-Current/ Current Investments of the Company, along with investments made and/or disposed of during the period.
   e) Details of material transactions, contracts and/or arrangements with related parties, in ordinary course of business and/ or at arm's length basis. "Material transactions, contracts and/ or arrangements" shall mean any transaction, contract and/ or arrangement amounting to 10% or more of the Consolidated net worth of the Company or Rs. 100 Crores, whichever is lower. "Net Worth" shall have the same meaning as defined in the Companies Act, 2013.
   f) Details of transactions, contracts and/or arrangements with related parties, which is not in ordinary course of business and/ or not at arm's length basis.
   g) Summary statement of the regulatory requirements and their compliance during the period.
   h) Statement of Frauds detected during the period, along with the steps undertaken to rectify the same.

4. The Information Technology (IT) Audit Report, if any, of the Company shall be placed before the

Risk Management Committee of the Company, with the MIS Report periodically. This audit report shall be an internal report tabled before the Committee and shall not be publically disbursed. If any specific changes to be made in the structure of IT of the Company, for the better functioning of MIS, based on such Audit Report, same shall be informed to the Board by the Committee.

5. The Company Secretary/Chief Compliance Officer and the Accounts Officer of the Company shall jointly and severally be responsible for placing the MIS Report, before the Asset Liability Management Committee and the Board of Directors of the Company.

6. The Company is required to review the above policy in every two years based on its own experience, business need and RBI guidelines in this regard.